

# On the chordality of polynomial sets in triangular decomposition in top-down style

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## Chordal graph

Perfect elimination ordering / chordal graph

G = (V, E) a graph with  $V = \{x_1, \dots, x_n\}$ :

An ordering  $x_{i_1} < x_{i_2} < \cdots < x_{i_n}$  of the vertexes is called a *perfect* elimination ordering of G if for each  $j = i_1, \ldots, i_n$ , the restriction of G on

$$X_j = \{x_j\} \cup \{x_k : x_k < x_j \text{ and } (x_k, x_j) \in E\}$$

is a clique. A graph G is said to be *chordal* if there exists a perfect elimination ordering of it.



Figure: Chordal VS non-chordal graphs

## Chordal graph

#### Equivalent conditions

G = (V, E) chordal  $\iff$  for any cycle C contained in G of four or more vertexes, there is an edge  $e \in E \setminus C$  connects two vertexes in C.



Figure: An illustrative chordal graph

• A chordal graph is also called a triangulated one.

## Triangular set and decomposition

Triangular set in  $\mathbb{K}[x_1, \ldots, x_n]$  with  $x_1 < \cdots < x_n$ 



Triangular decomposition

Polynomial sets 
$$\mathcal{F} \subset \mathbb{K}[x_1, \dots, x_n]$$
  
 $\Downarrow$   
Triangular sets  $\mathcal{T}_1, \dots, \mathcal{T}_t$  s.t.  $Z(\mathcal{F}) = \bigcup_{i=1}^t Z(\mathcal{T}_i/\operatorname{ini}(\mathcal{T}_i))$ 

→ Solving  $\mathcal{F} = 0 \implies$  solving all  $\mathcal{T}_i = 0$ → Multivariate generalization of Gaussian elimination Backgrounds Problems Top-down Wang Applications

## Inspired by the pioneering works of





D. Cifuentes

P.A. Parrilo (from MIT)

#### on triangular sets and chordal graphs

[Cifuentes and Parrilo 2017]: chordal networks of polynomial systems

- Connections between triangular sets and chordal graphs
- Algorithms for computing triangular sets due to Wang become more efficient when the input polynomial set is chordal (→ Why?)

→ [Cifuents and Parrilo 2016]: Gröbner bases and chordal graphs

## Chordal networks



Figure: A chordal network (borrowed from Parrilo's slides)

• Elimination tree / triangular decomposition clique-wisely

## Associated graphs of polynomial sets

 $F \in \mathbb{K}[x_1, \ldots, x_n]$  a polynomial: the (variable) *support* of F, supp(F), is the set of variables in  $x_1, \ldots, x_n$  which effectively appear in F

• 
$$\operatorname{supp}(\mathcal{F}) := \bigcup_{F \in \mathcal{F}} \operatorname{supp}(F)$$
 for  $\mathcal{F} \subset \mathbb{K}[x_1, \dots, x_n]$ 

#### Associated graphs

 $\mathcal{F} \subset \mathbb{K}[x_1, \dots, x_n]$ , associated graph  $G(\mathcal{F})$  of  $\mathcal{F}$  is an undirected graph:

(a) vertexes of  $G(\mathcal{F})$ : the variables in  $\operatorname{supp}(\mathcal{F})$ 

(b) edge  $(x_i, x_j)$  in  $G(\mathcal{F})$ : if there exists one polynomial  $F \in \mathcal{F}$  with  $x_i, x_j \in \text{supp}(F)$ 

#### Chordal polynomial set

A polynomial set  $\mathcal{F} \subset \mathbb{K}[x_1, \ldots, x_n]$  is said to be *chordal* if  $G(\mathcal{F})$  is chordal.

## Associated graphs of polynomial sets





Figure: Associated graphs  $G(\mathcal{P})$  (chordal) and  $G(\mathcal{Q})$  (not chordal)

## Chordal graphs in Gaussian elimination

New fill-ins in Cholesky factorization of a matrix  $A = LL^t$  (credits to J. Gilbert)



Matrices with chordal graphs: no new fill-ins (subgraphs)  $\implies$  sparse Gaussian elimination [Parter 61, Rose 70, Gilbert 94]

## Triangular decomposition in top-down style

The variables are handled in a strictly decreasing order:  $x_n, x_{n-1}, \ldots, x_1$ 

- widely used strategy [Wang 1993, 1998, 2000], [Chai, Gao, Yuan 2008]
- the closest to Gaussian elimination
- algorithms due to Wang are mostly in top-down style (!!)



decomposition

## Problems

- - Changes of graph structures of the polynomials in triangular decomposition
  - relationships (like inclusion) between associated graphs of computed triangular sets and the input polynomial set
- Sparse Gaussian elimination ⇒ sparse triangular decomposition in top-down style: multivariate generalization, on-going work
  - sparse Gröbner bases [Faugère, Spaenlehauer, Svartz 2014]
  - sparse FGLM algorithms [Faugère, Mou 2011, 2017]

## Reduction to a triangular set from a chordal polynomial set

$$\mathcal{P} \subset \mathbb{K}[x_1, \dots, x_n]$$
:  $\mathcal{P}^{(i)} = \{P \in \mathcal{P} : \operatorname{lv}(P) = x_i\}$ 

#### Proposition

 $\mathcal{P} \subset \mathbb{K}[x_1, \ldots, x_n]$  chordal,  $x_1 < \cdots < x_n$  perfect elimination ordering:

Let  $T_i \in \mathbb{K}[x_1, \ldots, x_n]$  be a polynomial with  $lv(T_i) = x_i$  and  $supp(T_i) \subset supp(\mathcal{P}^{(i)})$ . Then  $\mathcal{T} = [T_1, \ldots, T_n]$  is a triangular set, and  $G(\mathcal{T}) \subset G(\mathcal{P})$ .

 $\rightsquigarrow$ In particular,  $\operatorname{supp}(T_i) = \operatorname{supp}(\mathcal{P}^{(i)}) \Longrightarrow G(\mathcal{T}) = G(\mathcal{P})$ 

### An counter-example for non-chordal polynomial sets

This proposition does not necessarily hold in general if the polynomial set  $\ensuremath{\mathcal{P}}$  is not chordal.



Figure: The associated graphs  $G(\mathcal{Q})$  and  $G(\mathcal{T})$ 

## Reduction w.r.t. one variable in triangular decomposition

#### Theorem

 $\mathcal{P} \subset \mathbb{K}[x_1, \dots, x_n]$  chordal,  $x_1 < \cdots < x_n$  perfect elimination ordering:

Let  $T \in \mathbb{K}[x_1, \ldots, x_n]$  with  $lv(T) = x_n$  and  $supp(T) \subset supp(\mathcal{P}^{(n)})$ , and  $\mathcal{R} \subset \mathbb{K}[x_1, \ldots, x_n]$  such that  $supp(\mathcal{R}) \subset supp(\mathcal{P}^{(n)}) \setminus \{x_n\}$ . Then for the polynomial set

$$\tilde{\mathcal{P}} = \{\tilde{\mathcal{P}}^{(1)}, \dots, \tilde{\mathcal{P}}^{(n-1)}, T\},\$$

where  $\tilde{\mathcal{P}}^{(k)} = \mathcal{P}^{(k)} \cup \mathcal{R}^{(k)}$  for k = 1, ..., n-1, we have  $G(\tilde{\mathcal{P}}) \subset G(\mathcal{P})$  $\rightsquigarrow$ In particular,  $\operatorname{supp}(T) = \operatorname{supp}(\mathcal{P}^{(n)}) \Longrightarrow G(\tilde{\mathcal{P}}) = G(\mathcal{P})$ 

• commonly-used reduction in top-down triangular decomposition

## Some notations

#### mapping $f_i$

$$f_i: 2^{\mathbb{K}[\boldsymbol{x}_i] \setminus \mathbb{K}[\boldsymbol{x}_{i-1}]} \to (\mathbb{K}[\boldsymbol{x}_i] \setminus \mathbb{K}[\boldsymbol{x}_{i-1}]) \times 2^{\mathbb{K}[\boldsymbol{x}_{i-1}]}$$
$$\mathcal{P} \mapsto (T, \mathcal{R})$$

s.t  $\operatorname{supp}(T) \subset \operatorname{supp}(\mathcal{P})$  and  $\operatorname{supp}(\mathcal{R}) \subset \operatorname{supp}(\mathcal{P})$  (where  $\mathbb{K}[\boldsymbol{x}_0] = \mathbb{K}$ ).

 $\mathcal{P} \subset \mathbb{K}[x_1, \ldots, x_n]$  and a fixed integer  $i \ (1 \leq i \leq n)$ , suppose that  $(T_i, \mathcal{R}_i) = f_i(\mathcal{P}^{(i)})$  for some  $f_i$ . For  $j = 1, \ldots, n$ , define

$$\operatorname{red}_{i}(\mathcal{P}^{(j)}) := \begin{cases} \mathcal{P}^{(j)}, & \text{if } j > i \\ \{T_{i}\}, & \text{if } j = i \\ \mathcal{P}^{(j)} \cup \mathcal{R}_{i}^{(j)}, & \text{if } j < i \end{cases}$$

and  $\operatorname{red}_i(\mathcal{P}) := \bigcup_{j=1}^n \operatorname{red}_i(\mathcal{P}^{(j)})$ . In particular, write

$$\overline{\mathrm{red}}_i(\mathcal{P}) := \mathrm{red}_i(\mathrm{red}_{i+1}(\cdots(\mathrm{red}_n(\mathcal{P}))\cdots))$$

#### The above theorem becomes

 $G(\operatorname{red}_n(\mathcal{P})) \subset G(\mathcal{P})$ , and the equality holds if  $\operatorname{supp}(T_n) = \operatorname{supp}(\mathcal{P}^{(n)})$ .

## Reduction w.r.t. all variables in triangular decomposition

#### Proposition

 $\mathcal{P} \subset \mathbb{K}[x_1, \dots, x_n]$  chordal,  $x_1 < \dots < x_n$  perfect elimination ordering:

For each i  $(1 \le i \le n)$ , suppose that  $(T_i, \mathcal{R}_i) = f_i(\overline{\operatorname{red}}_{i+1}(\mathcal{P})^{(i)})$  for some  $f_i$  and  $\operatorname{supp}(T_i) = \operatorname{supp}(\overline{\operatorname{red}}_{i+1}(\mathcal{P})^{(i)})$ . Then

 $G(\overline{\operatorname{red}}_1(\mathcal{P})) = \cdots = G(\overline{\operatorname{red}}_{n-1}(\mathcal{P})) = G(\operatorname{red}_n(\mathcal{P})) = G(\mathcal{P}).$ 

## Counter example for successive inclusions $supp(T_i) \subset supp(\overline{red}_{i+1}(\mathcal{P})^{(i)})$ : then in general we will NOT have $G(\overline{red}_1(\mathcal{P})) \subset \cdots \subset G(\overline{red}_{n-1}(\mathcal{P})) \subset G(red_n(\mathcal{P})) \subset G(\mathcal{P})$

#### Example

0



## Subgraphs of the input chordal graph

#### Theorem

 $\mathcal{P} \subset \mathbb{K}[x_1, \dots, x_n]$  chordal,  $x_1 < \dots < x_n$  perfect elimination ordering:

For each  $i = n, \ldots, 1$ ,

$$G(\overline{\mathrm{red}}_i(\mathcal{P})) \subset G(\mathcal{P})$$
.

#### Corollary

 $\mathcal{P} \subset \mathbb{K}[x_1, \dots, x_n]$  chordal,  $x_1 < \dots < x_n$  perfect elimination ordering: If  $\mathcal{T} := \overline{\mathrm{red}}_1(\mathcal{P})$  does not contain any nonzero constant, then  $\mathcal{T}$  forms a triangular set such that  $G(\mathcal{T}) \subset G(\mathcal{P})$ .

- ${\mathcal T}$  above: the main component in the triangular decomposition
- Valid for ANY algorithms for triangular decomposition in top-down style
- Problem: what about the other triangular sets?

## Wang's method: algorithm

[Wang 93]: Wang's method, simply-structured algorithm for triangular decomposition in top-down style

> **Algorithm 1:** Wang's method for triangular decomposition  $\Psi := \text{TriDecWang}(\mathcal{P})$ **Input**:  $\mathcal{F}$ , a polynomial set in  $\mathbb{K}[\boldsymbol{x}]$ **Output:**  $\Psi$ , a set of finitely many triangular systems which form a triangular decomposition of  $\mathcal{F}$ 1  $\Phi := \{ (\mathcal{F}, \emptyset, n) \}$ : 2 while  $\Phi \neq \emptyset$  do  $(\mathcal{P}, \mathcal{Q}, i) := \mathsf{pop}(\Phi)$ : if i = 0 then 4  $\Psi := \Psi \cup \{ (\mathcal{P}, \mathcal{Q}) \};$ 5 Break: 6 while  $\#(\mathcal{P}^{(i)}) > 1$  do 7 T := a polynomial in  $\mathcal{P}^{(i)}$  with minimal degree in  $x_i$ ; 8  $\Phi := \Phi \cup \{ (\mathcal{P} \setminus \{T\} \cup \{\operatorname{ini}(T), \operatorname{tail}(T)\}, \mathcal{Q}, i) \};$ 9  $\overline{\mathcal{P}} := \mathcal{P}^{(i)} \setminus \{T\};$ 10  $\mathcal{P} := \mathcal{P} \setminus \overline{\mathcal{P}}$ : 11 for  $P \in \mathcal{P}^{(i)}$  do 12  $\mathcal{P} := \mathcal{P} \cup \{\operatorname{prem}(P, T)\};$ 13  $\mathcal{Q} := \mathcal{Q} \cup \{ \operatorname{ini}(T) \};$ 14  $\Phi := \Phi \cup \{ (\mathcal{P}, \mathcal{Q}, i-1) \};$ 15 16 for  $(\mathcal{P}, \mathcal{Q}) \in \Psi$  do if  $\mathcal{P}$  contains a non-zero constant then 17  $\Psi := \Psi \setminus \{ (\mathcal{P}, \mathcal{Q}) \}$ 18 19 return  $\Psi$

Wang's method: binary decomposition tree



$$\begin{split} \mathcal{P}' &:= \mathcal{P} \setminus \mathcal{P}^{(i)} \cup \{ \mathbf{T} \} \cup \{ \operatorname{prem}(P,T) : P \in \mathcal{P} \}, \quad \mathcal{Q}' &:= \mathcal{Q} \cup \{ \operatorname{ini}(T) \}, \\ \mathcal{P}'' &:= \mathcal{P} \setminus \{ \mathbf{T} \} \cup \{ \operatorname{ini}(T), \operatorname{tail}(T) \}, \qquad \qquad \mathcal{Q}'' &:= \mathcal{Q}, \end{split}$$

## Wang's method: left child

Proposition: Wang's method applied to  $\mathcal{F} \subset \mathbb{K}[x_1, \dots, x_n]$ , chordal

 $\mathcal{F} \subset \mathbb{K}[x_1, \dots, x_n]$  chordal,  $x_1 < \dots < x_n$  perfect elimination ordering:

 $(\mathcal{P}, \mathcal{Q}, i)$  arbitrary node in the binary decomposition tree such that  $G(\mathcal{P}) \subset G(\mathcal{F})$ ,  $T \in \mathcal{P}$  with minimal degree in  $x_i$ . Denote

 $\mathcal{P}' = \mathcal{P} \setminus \mathcal{P}^{(i)} \cup \{T\} \cup \{\operatorname{prem}(P, T) : P \in \mathcal{P}^{(i)}\}.$ 

Then  $G(\mathcal{P}') \subset G(\mathcal{F})$ .



 $G(\mathcal{P}') \subset G(\mathcal{F})$  on the conditions that  $G(\mathcal{F})$  is chordal and  $G(\mathcal{P}) \subset G(\mathcal{F})$ 

## Wang's method: right child

#### Proposition

 $(\mathcal{P},\mathcal{Q},i)$  arbitrary node in the binary decomposition tree,  $T\in\mathcal{P}^{(i)}$  with minimal degree in  $x_i$ . Denote

$$\mathcal{P}'' = \mathcal{P} \setminus \{T\} \cup \{\operatorname{ini}(T), \operatorname{tail}(T)\}.$$

Then  $G(\mathcal{P}'') \subset G(\mathcal{P})$ .  $\Rightarrow$  In particular,  $\operatorname{supp}(\operatorname{tail}(T)) = \operatorname{supp}(T) \Longrightarrow G(\mathcal{P}'') = G(\mathcal{P})$ .



 $G(\mathcal{P}'') \subset G(P)$  under no conditions

## Wang's method: any node

Theorem: Wang's method applied to  $\mathcal{F} \subset \mathbb{K}[x_1, \ldots, x_n]$ , chordal

 $\mathcal{F} \subset \mathbb{K}[x_1, \dots, x_n]$  chordal,  $x_1 < \dots < x_n$  perfect elimination ordering:

For any node  $(\mathcal{P}, \mathcal{Q}, i)$  in the binary decomposition tree,  $G(\mathcal{P}) \subset G(\mathcal{F})$ 



Corollary: Wang's method applied to  $\mathcal{F} \subset \mathbb{K}[x_1, \dots, x_n]$ , chordal  $\mathcal{F} \subset \mathbb{K}[x_1, \dots, x_n]$  chordal,  $x_1 < \dots < x_n$  perfect elimination ordering: For any triangular set  $\mathcal{T}$  computed by Wang's method,  $G(\mathcal{T}) \subset G(\mathcal{F})$ 

# Complexity analysis for triangular decomposition in top-down style

#### Chordal completion

For a graph G, another graph G' is called a *chordal completion* of  $\mathcal{G}$  if G' is chordal with G as its subgraph.

The *treewidth* of a graph G is defined to be the minimum of the sizes of the largest cliques in all the possible chordal completions of G.

- many NP-complete problems related to graphs can be solved efficiently for graphs of bounded treewidth [Arnborg, Proskurowski 1989]
- Complexities for computing Gröbner bases for polynomial sets with small treewidth [Cifuents and Parrilo 2016]

#### Reminding you of the inclusion of graphs for Wang's method

The input chordal associated graph: upper bound

• Complexities for triangular decomposition: first for polynomial sets with chordal graphs / small treewidth

## Variable sparsity of polynomial sets

#### Variable sparsity

 $G(\mathcal{F}) = (V, E)$  associated graph of  $\mathcal{F} = \{F_1, \ldots, F_r\} \subset \mathbb{K}[x_1, \ldots, x_n]$ . Define the variable sparsity  $s_v(\mathcal{F})$  of  $\mathcal{F}$  as

$$s_v(\mathcal{F}) = |E| / \binom{2}{|V|},$$

denominator: edge number of a complete graph of |V| vertexes

 $G(\mathcal{F})$  can be extended to a weighted graph  $G^w(\mathcal{F})$  by associating the number  $\#\{F \in \mathcal{F} : x_i, x_j \in \operatorname{supp}(F)\}$  to each edge  $(x_i, x_j)$  of  $G(\mathcal{F})$ 

#### Weighted variable sparsity

the weighted variable sparsity  $s^w_v(\mathcal{F})$  of  $\mathcal{F}$  can be defined as

$$s_v^w(\mathcal{F}) = rac{\sum_{e \in E} w_e}{r \cdot {2 \choose |V|}},$$

where r is the number of polynomials in  $\mathcal{F}$ .

Sparse triangular decomposition

## A refined algorithm for regular decomposition

**(**) Compute the variable sparsity  $s_v$  of  $\mathcal{F}$ 

2 If  $s_v$  is smaller than some sparsity threshold  $s_0$  ( $\mathcal{F}$  is sparse), then

- $\begin{array}{l} {\rm 0} \quad {\rm If} \ G({\cal F}) \ {\rm is \ chordal, \ then \ compute \ its \ perfect \ elimination \ ordering} \\ \overline{{\pmb x}}^{\ 1} \end{array}$
- **2** Else compute its chordal completion  $\overline{G}(\mathcal{F})^2$  and a perfect elimination ordering  $\overline{x}$  of  $\overline{G}(\mathcal{F})$
- § Compute the regular decomposition of  ${\cal F}$  with respect to  $\overline{x}$  with a top-down algorithm <sup>3</sup>

<sup>&</sup>lt;sup>1</sup>[Rose, Tarjan, and Lueker 1976]

<sup>&</sup>lt;sup>2</sup>[Bodlaender and Koster 2008]

<sup>&</sup>lt;sup>3</sup>Say, [Wang 2000]

## Sparse triangular decomposition

A sparse polynomial system arising from the lattice reachability problem [Cifuentes and Parrilo 2017], [Diaconis, Eisenbud, Sturmfels 1998]

$$\mathcal{F}_i := \{ x_k x_{k+3} - x_{k+1} x_{k+2} : k = 1, 2, \dots, i \}, \quad i \in \mathbb{Z}_{>0}$$



Figure: Associated graph of  $\mathcal{F}_i$ 

## Sparse triangular decomposition

Comparisons of timings for computing regular decomposition of one class of chordal and variable sparse polynomials [Cifuentes and Parrilo 2017]

$$\mathcal{F}_i := \{ x_k x_{k+3} - x_{k+1} x_{k+2} : k = 1, 2, \dots, i \}, \quad i \in \mathbb{Z}_{>0}$$

n	$s_v$	$t_p$			$t_r$			$\overline{t}_r$	$\bar{t}_r/t_p$
10	0.53	0.19	0.14	0.21	0.22	0.11	0.21	0.18	0.95
20	0.28	1.44	4.24	4.45	3.15	4.41	4.65	4.18	2.90
25	0.23	4.25	50.62	20.29	15.55	25.01	35.10	29.31	6.90
30	0.19	11.94	177.37	185.94	130.54	142.97	103.42	148.05	12.40
35	0.17	42.33	560.56	291.35	633.43	320.98	938.45	548.95	12.97
40	0.15	161.11	1883.64	3618.04	4289.13	4013.99	2996.37	3360.23	20.86

Table: Regular decomposition with RegSer in Epsilon: top-down

Table: Regular decomposition with RegularChains in Maple: not top-down

n	$s_v$	$t_p$			$t_r$			$\overline{t}_r$	$\overline{t}_r/t_p$
15	0.37	45.90	17.29	21.41	13.62	32.50	19.63	20.89	0.46
17	0.33	216.69	87.29	197.35	104.86	68.28	130.83	117.72	0.54
19	0.30	1303.08	415.90	308.37	780.75	221.75	831.15	511.58	0.39
21	0.27	8787.32	1823.29	2064.55	2431.49	1926.02	1593.36	1967.74	0.22

## Sparse triangular decomposition

Comparisons of timings for computing regular decomposition of one class of chordal and variable sparse polynomials [Cifuentes and Parrilo 2017]

$$\mathcal{F}_i := \{ x_k x_{k+3} - x_{k+1} x_{k+2} : k = 1, 2, \dots, i \}, \quad i \in \mathbb{Z}_{>0}$$

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## Future works

- Chordality in regular decomposition in top-down style: the most popular triangular decomposition
- More other graph structures to study?

## Thanks!

## Recruitment

## We need Postdocs!

Postdoctoral Positions in

"Scientific Data and Computing Intelligence" Research Team led by Prof. Dongming WANG in Beijing Advanced Innovation Center for Big Data and Brain Computing

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- Send your CV and brief future research plans to me (Dr.Chenqi MOU, chenqi.mou@buaa.edu.cn)
- More information: http://cmou.net/files/bdbc-postdoc-chn. pdf